

# NC SBIR/STTR Awardee Roundtable

## *Legal, Business Development and Accounting Considerations*

**August 26, 2025**

**9:00 – 11:30AM**

**Location:** 701 Corporate Center Dr Suite 250, Raleigh, NC 27607

**Registration:** <https://sbtdc.org/nc-sbir-sttr-awardee-roundtable>

### **Agenda**

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| <b>9:00 – 9:10</b>   | <b>Kick-off</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <b>9:10 – 9:25</b>   | <b>Introductions</b><br><i>All attendees</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>9:25 – 10:00</b>  | <b>Legal, Business Development and Follow-on Funding Considerations</b><br><i>John Rudd – Hutchison PLLC</i> <ul style="list-style-type: none"><li>• Why investors often require Delaware C-Corporations</li><li>• Issuing equity to employees and consultants and related vesting terms</li><li>• Determining the size and membership of the board of directors</li><li>• Contributing existing IP, assignment of new IP going forward and licensing third-party IP</li><li>• Negotiating a Stockholders Agreement among the founders and/or angel investors</li></ul> |
| <b>10:00 – 10:30</b> | <b>Accounting Considerations – Federal Grant Administration, Accounting, and Compliance</b><br><i>Lauren Joyce, EisnerAmper LLP</i> <ul style="list-style-type: none"><li>• Certified accounting systems</li><li>• Allowable costs and approval processes</li><li>• Best practices for tracking grant expenditures</li><li>• Calculating and applying overhead rates</li><li>• Internal controls</li><li>• Single and Program Specific audits</li></ul>                                                                                                                 |
| <b>10:30 – 11:15</b> | <b>Open Discussion and Networking</b><br><i>All attendees</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |

## **Speaker Bios**



### **John Rudd, Hutchison PLLC**

John advises life sciences and technology companies in all stages of a company's life cycle. John typically acts as outside general counsel working closely with founders, management teams and boards of directors on company startups and formations, licensing of intellectual property, venture capital financings, commercial transactions, mergers and acquisitions and other strategic transactions. Prior to joining Hutchison, John was an associate in the mergers and acquisitions group of Jones Day's Tokyo office, where he advised public companies and private equity clients on various types of cross-border transactions, including acquisitions, divestitures, licenses, and strategic partnerships.



### **Lauren E. Joyce, EisnerAmper LLP**

Lauren is an audit partner at EA with over 20 years of experience providing financial audits and advisory services to clients in the life science industry. Lauren's practice focuses on companies receiving federal grants, from assisting early-stage companies establish processes and controls to meet the compliance requirements to providing federal award compliance audits including single and program specific audits. Lauren also provides consulting services for equity management, stock option plan administration and accounting for debt and equity transactions. Lauren serves on the executive team as Treasurer for the North

Carolina Life Sciences Organization. Prior to joining the firm, Lauren was a Partner at Hughes Pittman & Gupton, LLP (HPG), a leading North Carolina-based accounting firm.

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